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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,326	09/08/2003	Hiroaki Himi	01-463	9204

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POSZ LAW GROUP, PLC  
12040 SOUTH LAKES DRIVE  
SUITE 101  
RESTON, VA 20191

EXAMINER

NGUYEN, CUONG QUANG

ART UNIT PAPER NUMBER

2811

DATE MAILED: 12/30/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/656,326	Applicant(s) HIMI ET AL.	
	Examiner Cuong Q. Nguyen	Art Unit 2811	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 15-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 15-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____  |

### **DETAILED ACTION**

1. The finality filed on 08-09-05 has been withdrawn.

#### ***Claim Objections***

2. Claim 18 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The limitation "the diffusion structure is formed including a repeated pattern in the region" is already included in claim 38.

#### **Claim Rejections - 35 USC § 102**

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 26-27, 32-33, and 34-37 are rejected under 35 U.S.C. 102(e) as being anticipated by Itou (US 6,791,156).

Regarding claims 26, 27, 37, Itou discloses a method for manufacturing a hybrid IC semiconductor device includes a LDMOS, a bipolar transistor formed on a silicon on insulator substrate, comprising: forming a common diffusion structure (a layer 11c and 11d containing impurity of first conductance type) (col.4 lines 60-65) in a region of the substrate in which the semiconductor component is formed, the diffusion structure is formed on an insulating layer (11b); separating a part of the diffusion structure from a surrounding area thereof by trenches (17) to form the semiconductor component along with defining a size of the semiconductor component; and connecting a metallization pattern (col.6 lines 40-45) to the semiconductor component. See Fig.1A to Fig.1I.

Regarding claims 32 and 33, Itou's semiconductor device including a bipolar transistor (16) which is known in the art as an analog component and is capable of processing an analog signal.

Regarding claims 34 and 35-36, Itou's semiconductor device including the bipolar transistor (16), a LDMOS transistor (15) which is known in the art as power components and are capable to control the power supply.

Claims 26, 27, 29, 32, 33, 37 are rejected under 35 U.S.C. 102(b) as being anticipated by Yamazaki (US 5,306,940).

Yamazaki discloses a method for manufacturing a semiconductor device that includes semiconductor components (BJT and MOSFET devices) formed on a substrate, comprising: forming a diffusion structure (103) larger than the semiconductor component in a region of the substrate in which the semiconductor component is formed; separating

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a part of the diffusion structure from a surrounding area thereof by a trench structure (112) filling with borophosphosilicate glass (BPSG 115C) (col.10 lines 64-68) to form the semiconductor component along with defining a size of the semiconductor component; and connecting a metallization pattern (131) to the semiconductor component. See Fig.8A to Fig.8H.

Claims 15, 18-27, and 30-40 are rejected under 35 U.S.C. 102(b) as being anticipated by Cantarini et al. (US 6,472,254).

Regarding claims 26, 27, 37, Cantarini et al. discloses a method for manufacturing a hybrid IC semiconductor device includes a LDMOS, a IGBT transistor formed on a silicon on insulator substrate (col.2 lines 40-50), comprising: forming a common diffusion structure (including diffusion regions 20, 21, 22, 25, 26, 27) (Fig.2) in a region of the substrate in which the semiconductor component is formed, the diffusion structure is formed on an insulating layer (Fig.5); separating a part of the diffusion structure from a surrounding area thereof by trenches (40) to form the semiconductor component along with defining a size of the semiconductor component; and connecting a metallization pattern (layer 70, 71) (Fig.5) to the semiconductor component. See Fig.1 to Fig.5.

Regarding claims 32 and 33, Cantarini et al.'s semiconductor device including a bipolar transistor which is known in the art as an analog component and is capable of processing an analog signal.

Regarding claims 34 and 35-36, Cantarini et al.'s semiconductor device including the bipolar transistor and a LDMOS transistor which is known in the art as power components and are capable to control the power supply.

Regarding claims 30, 31, 38, 15, 18, 19, 20, 21, 22, 23, 24, 25, 40, as shown in Cantarini et al.'s Fig.6, the diffusion structure is formed including a repeated pattern in the region, wherein the diffusion structure is formed including diffusion regions shaped in a rectangular.

Regarding claim 39, as shown in Cantarini et al.'s Fig.6, the repeated pattern of diffusion regions (20) is considered as a first diffusion structure which is encircled by trench (40) and the repeated pattern of diffusion regions (21) is considered as a second diffusion structure which is encircled by trench (40).

#### **Claim Rejections - 35 USC § 103**

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 16 and 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Itou, Yamazaki and Cantarini et al.

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Itou, Yamazaki and Cantarini et al. do not explicitly teach that a semiconductor layer on an insulating layer is equal to or less than five microns as claimed because the thickness of the semiconductor layer would have been determinable by one of ordinary skill in the art through no more than routine experimentation and optimization. See *In re Aller*, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955). It would have been obvious to one of ordinary skill in the art to form the semiconductor layer as thin as claimed in order to reduce the size of the semiconductor device.

Claims 17, and 19 rejected under 35 U.S.C. 103(a) as being unpatentable over Itou or Cantarini et al. in view of Kim (US 5,994,200).

Itou and Cantarini et al. both teach that the trench isolation is filled with polysilicon and do not suggest that the trench can be fill with BPSG.

It is known in the art and also taught by Kim that polysilicon and BPSG are art recognized materials for filling the trench isolation structure (Kim's col.3 lines 45-51) and they are interchangeable.

So, it would have been obvious to one of ordinary skill in the art to fill the trench isolation structure with BPSG instead of polysilicon as suggested by Kim.

### ***Response to Arguments***

Applicant's arguments have been fully considered but they are moot in view of new ground of rejection.

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### Conclusion

5. Papers related to this application may be submitted to Technology center (TC) 2800 by facsimile transmission. Papers should be faxed to TC 2800 via the TC 2800 Fax center located in Crystal Plaza 4, room 4-C23. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The Group 2811 Fax Center number is (703) 872-9306. The Group 2811 Fax Center is to be used only for papers related to Group 2811 applications.

6. Any inquiry concerning this communication or any earlier communication from the Examiner should be directed to CUONG Q NGUYEN whose telephone number is (571) 272-1661. The Examiner is in the Office generally between the hours of 6:30 AM to 5:00 PM (Eastern Standard Time) Monday through Thursday. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor Eddie Lee who can be reached on (571) 272-1732.



Cuong Nguyen

Primary examiner

12/13/05